

#4

Patent
Attorney's Docket No. 032367-449

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

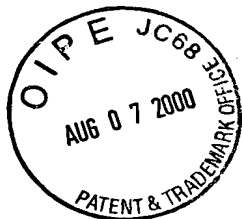
In re Patent Application of

CHRISTENSEN et al.

Application No.: 09/457,926

Filed: December 8, 1999

For: NOVEL ANTI-BACTERIAL AGENTS



Group Art Unit: 1614

Examiner: Unassigned

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show the corrections that are needed. The correction are as follows.

1. The city listed for fifth inventor Judice is incorrect. The city should read: El Granada, CA.
2. The information regarding sixth inventor Mu has been omitted entirely. The sixth inventor should read: YongQi Mu, Los Altos, CA.
3. The title of the application is missing a hyphen between the words Anti and Bacterial. The title should read: Novel Anti-Bacterial Agents.

Issuance of a corrected Official Filing Receipt is respectfully requested. This Request for Corrected Official Filing Receipt is being submitted concurrently with the reply to the Notice to File Missing Parts of Application.

Request for Corrected Official Filing Receipt
U.S. Patent Application Serial No. 09/457,926
Attorney Docket No. 032367-449

Applicants believe that no fee is required since the Patent Office is performing this service without charge. However, if such fee is required under 37 C.F.R. § 1.19(h) the Commissioner is authorized to be charged to Deposit Account No. 02-4800 and this paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: Mary Ann Dillahunty
Mary Ann Dillahunty
Registration No. 34,576

P.O. Box 1404
Alexandria, Virginia 22313-1404
(650) 622-2300

Date: August 4, 2000

FILING RECEIPT

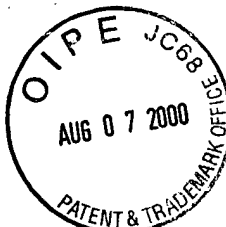


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/457,926	12/08/99	1614	\$0.00	032367-449	25	60	13

021839

BURNS DOANE SWECKER & MATHIS
P O BOX 1404
ALEXANDRIA VA 22313-1404



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) BURTON G. CHRISTENSEN, ALAMO, CA; EDMUND J. MORAN,
SAN FRANCISCO, CA; JOHN H. GRIFFIN, ATHERTON, CA; MATHAI
MAMMEN, SAN MATEO, CA; J. KEVIN JUDICE, MONTARA, CA;
JAMES AGGEN, SAN FRANCISCO, CA; EL GRANADA
YONGQI MU, LOS ALTOS, CA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 01/13/00

TITLE

NOVEL ANTIBACTERIAL AGENTS

ANTI-BACTERIAL

PRELIMINARY CLASS: 514

BURNS, DOANE, SWECKER & MATHIS, L.L.P.
RECEIVED

JAN 21 2000

DOCKETED 131-22

032367-449

GFS/OSB

Adv. Med.

DATA ENTRY BY: HOPKINS, DENISE

TEAM: 04 DATE: 01/13/00



(See reverse for new important information)

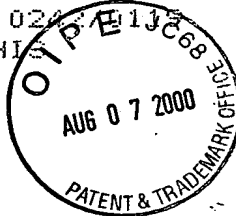


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/457,926	12/08/99	CHRISTENSEN	B 032367-449

021839
BURNS DOANE SWECKER & MATHIS
P O BOX 1404
ALEXANDRIA VA 22313-1404



NOT ASSIGNED

1614

DATE MAILED:

01/19/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition, accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$2050.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$720.00 for 40 total claims over 20.

\$700.00 for 10 independent claims over 3.

\$260.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

01 FC:201	380.00 OP
02 FC:205	65.00 OP
03 FC:202	390.00 OP
04 FC:203	360.00 OP
05 FC:204	130.00 OP

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR-1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

CHRISTENSEN et al.

Application No.: 09/457,926

Filed: December 8, 1999

For: NOVEL ANTI-BACTERIAL AGENT



Group Art Unit: 1614

Examiner: Unassigned

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

BOX: MISSING PART

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(e) dated January 19, 2000, enclosed please find:

- ☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of ☒ \$65.00 (205) [] \$130.00 (105) as set forth in 37 C.F.R. § 1.16(e);
- ☐ Note that the inventor(s) identified on the currently filed Combined Declaration and Power of Attorney are different than listed on the application filing papers.
- ☒ a Statement Claiming Small Entity Status;
- ☐ a Request for Refund;
- ☒ a Petition for Extension of Time (5 months);
- ☐ a verified English translation of the Application, and the \$130.00 (139) fee as set forth in 37 C.F.R. § 1.17(k);
- ☐ an Assignment document and a separate check for the \$40.00 (581) Assignment recordation fee;
- ☒ other a copy of the Notice to File Missing Parts of Application;
- ☒ other Request for Corrected Official Filing Receipt and copy of the Filing Receipt with changes requested marked in red;

Transmittal Letter for Missing Parts of Application

Attorney's Docket No. 032367-449

Application No. 09/457,926

Page 2

- ☒ a check in the amount of \$ 2250.00 (\$65.00 for the fee due for missing parts, \$1260.00 for the filing fee and \$925.00 for the extension of time fee); and
- ☐ charge \$ _____ to Deposit Account No. 02-4800 for the fee due for missing parts.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
(650) 622-2300

By: Mary Ann Dillahunty
Mary Ann Dillahunty
Registration No. 34,576

Date: August 4, 2000